# COMPLETE HYPERSURFACES WITH CONSTANT SCALAR CURVATURE IN A SPECIAL KIND OF LOCALLY SYMMETRIC MANIFOLD

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**Abstract.** In this paper, we investigate *n*-dimensional complete and orientable hypersufaces  $M^n$  ( $n \ge 3$ ) with constant normalized scalar curvature in a locally symmetric manifold. Two rigidity theorems are obtained for these hypersurfaces.

**Key words:** *hypersurfaces, scalar curvture, locally symmetric manifold* **AMS (2010) subject classification:** 53C42, 53A10

## **1** Introduction

When the ambient manifolds possess very nice symmetry, for example the unit sphere, there are many rigidity results for hypersurfaces with constant mean curvature or with constant scalar curvature in these ambient manifolds, such as [2, 3, 4, 6, 7, 11, 12] and the references therein. Recently, many researchers studied the minimal hypersurfaces or hypersurfaces with constant mean curvature in more general Riemmanniam manifolds such as the locally symmetric manifolds and the  $\delta$ -pinched manifolds, and obtained many rigidity results these hypersurfaces, such as [5, 10, 13, 14] and the references therein. It is natural and very important to study *n*-dimensional complete and orientable hypersurfaces with constant scalar curvature in a locally symmetric manifold. In the paper, we will discuss complete hypersurfaces in this direction.

In order to represent our theorems, we need some notation. Let  $N^{n+1}$  be a locally symmetric manifold and  $M^n$  be an *n*-dimensional complete and oriented hypersurface in  $N^{n+1}$ . We choose a

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local orthonormal frame  $e_1, \dots, e_n, e_{n+1}$  in  $N^{n+1}$  such that  $e_1, \dots, e_n$  are tangent to  $M^n$  and  $e_{n+1}$  is normal to  $M^n$ . We assume that  $N^{n+1}$  satisfies the following conditions:

$$K_{n+1in+1i} = c_0, \tag{1}$$

$$\frac{1}{2} < \delta \le K_N \le 1,\tag{2}$$

where  $c_0$ ,  $\delta$  are constants and  $K_N$  denotes the sectional curvature of  $N^{n+1}$ . When  $N^{n+1}$  satisfies the above conditions (1), (2), it is said simply for  $N^{n+1}$  to satisfy the condition (\*).

Remark 1.1. If  $N^{n+1}$  is a unit sphere  $S^{n+1}(1)$ , then it satisfies the condition (\*), where  $c_0 = \delta = 1$ .

It is easy to know that the scalar curvature  $\bar{R}$  of locally symmetric manifold is constant. On the other hand, if we denote  $\bar{R}_{CD}$  as the components of the Ricci curvature tensor of  $N^{n+1}$  satisfying the condition (\*), then the scalar curvature  $\bar{R}$  of  $N^{n+1}$  is

$$\bar{R} = 2\sum_{k} K_{n+1kn+1k} + \sum_{ij} K_{ijij} = 2nc_0 + \sum_{ij} K_{ijij},$$
(3)

hence,  $\sum_{ij} K_{ijij}$  is constant. This fact together with the formula (12) suggests us to define a constant *P* by

$$n(n-1)P = n(n-1)R - \sum_{ij} K_{ijij} = n^2 H^2 - S.$$
(4)

Using (4), we finally establish our main results:

**Theorem 1.2.** Let  $M^n$   $(n \ge 3)$  be an n-dimensional complete and orientable hypersurface with constant normalized scalar curvature R in a locally symmetric manifold  $N^{n+1}$  satisfying the condition (\*). If  $P \ge 0$ , in the case where P = 0, assume further that the mean curvature function H does not change sign, then

(i) either sup |Φ|<sup>2</sup> = 0 and M is a totally umbilical hypersurface.
(ii) or

$$\sup |\Phi|^2 \ge D(n,P) = \frac{n(n-1)(P+c)^2}{(n-2)(nP+2c)} > 0.$$
(5)

Moreover, if P > 0 the equality  $\sup |\Phi|^2 = D(n, P)$  holds and this suppremum is attained at some point of M, then  $M^n$  has two distinct constant principal curvatures, one of them being simple, where  $c = 2\delta - c_0 > 0$  and P determined by (4). In particular, let  $N^{n+1} = S^{n+1}(1)$  in Theorem 1.2, then  $c = c_0 = \delta = 1$ , so P = R - 1 from (4). If P > 0, i.e., R > 1 the equality sup  $|\Phi|^2 = D(n, P)$  holds and this suppremum is attained at some point of M, following from Theorem 1.2, we know that  $M^n$  has two distinct principal curvatures; in fact  $M^n$  is the H(r)-torus  $S^1(\sqrt{1-r^2}) \times S^{n-1}(r) \subset S^{n+1}(1)$ , with  $0 < r < \sqrt{(n-2)/nR}$ . In this case, Theorem 1.2 generalizes the result in [2],[3] to more general situations.

**Theorem 1.3.** Let  $M^n$   $(n \ge 3)$  be an n-dimensional complete and orientable hypersurface with constant normalized scalar curvature R in a locally symmetric manifold  $N^{n+1}$  satisfying the condition (\*). Assume P > 0. If  $S \le 2\sqrt{n-1}c$ , then  $M^n$  is totally umbilical hypersurface, or  $\sup S = 2\sqrt{n-1}c$ . Moreover, the equality  $\sup S = 2\sqrt{n-1}c$  holds and this suppremum is attained at some point of M, then  $M^n$  has two distinct constant principal curvatures, one of them being simple, where  $c = 2\delta - c_0 > 0$ .

# 2 Preliminaries

Let  $N^{n+1}$  be a locally symmetric manifold and  $M^n$  be an *n*-dimensional complete and oriented hypersurface in  $N^{n+1}$ . We choose a local orthonormal frame  $e_1, \dots, e_n, e_{n+1}$  in  $N^{n+1}$  such that  $e_1, \dots, e_n$  are tangent to  $M^n$  and  $e_{n+1}$  is normal to  $M^n$ . Let  $\omega_1, \dots, \omega_{n+1}$  be the dual coframe. We use the following convention on the range of indices:

$$1 \le A, B, \dots \le n+1; \quad 1 \le i, j, \dots \le n.$$

The structure equations of  $N^{n+1}$  are given by

$$d\omega_A = -\sum_B \omega_{AB} \wedge \omega_B, \quad \omega_{AB} + \omega_{BA} = 0, \tag{6}$$

$$d\omega_{AB} = -\sum_{C} \omega_{AC} \wedge \omega_{CB} + \frac{1}{2} \sum_{ABCD} K_{ABCD} \omega_{C} \wedge \omega_{D}, \qquad (7)$$

where  $K_{ABCD}$  are the components of the curvature tensor of  $N^{n+1}$ .

Restricting to  $M^n$  such that

$$\omega_{n+1} = 0, \quad \omega_{n+1i} = \sum_{j} h_{ij} \omega_j, \quad h_{ij} = h_{ji}, \tag{8}$$

The structure equations of  $M^n$  are

$$d\omega_i = -\sum_j \omega_{ij} \wedge \omega_j, \quad \omega_{ij} + \omega_{ji} = 0,$$
(9)

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$$d\omega_{ij} = -\sum_{k} \omega_{ik} \wedge \omega_{kj} + \frac{1}{2} \sum_{k,l} R_{ijkl} \omega_k \wedge \omega_l, \qquad (10)$$

$$R_{ijkl} = K_{ijkl} + (h_{ik}h_{jl} - h_{il}h_{jk}),$$
(11)

$$n(n-1)R = \sum_{ij} K_{ijij} + n^2 H^2 - S,$$
(12)

$$\sum_{k} h_{ijk} \omega_k = dh_{ij} - \sum_{k} h_{kj} \omega_{ki} - \sum_{k} h_{ik} \omega_{kj}, \qquad (13)$$

$$\sum_{l} h_{ijkl} \omega_{l} = dh_{ijk} - \sum_{l} h_{ljk} \omega_{li} - \sum_{l} h_{ilk} \omega_{lj} - \sum_{l} h_{ijl} \omega_{lk}, \qquad (14)$$

where n(n-1)R is the scalar curvature, *H* is the mean curvature and *S* is the squared of the second fundamental form of  $M^n$ .

The Laplacian  $\triangle h_{ij}$  of the second fundamental form of  $M^n$  is defined by  $\triangle h_{ij} = \sum_k h_{ijkk}$ . By a simple and direct calculation, we have

Choose a local frame of orthonormal vectors fields  $\{e_i\}$  such that at arbitrary point x of  $M^n$ 

$$h_{ij} = \lambda_i \delta_{ij},\tag{16}$$

then at point *x* we have

$$\frac{1}{2} \triangle S = \sum_{ijk} h_{ijk}^2 + \sum_{ij} h_{ij} \triangle h_{ij}$$

$$= \sum_{ijk} h_{ijk}^2 + \sum_i \lambda_i (nH)_{ii} + nH \sum_i \lambda_i K_{n+1in+1i} - S \sum_i k_{n+1in+1i}$$

$$+ \sum_{ij} (\lambda_i - \lambda_j)^2 K_{ijij} - S^2 + nH \sum_i \lambda_i^3, \qquad (17)$$

where we use the fact that the Riemannian curvature of locally symmetric manifold is covariant constant.

Set  $\Phi = h_{ji} - nH\delta_{ij}$ , it is easy to check that  $\Phi$  is traceless and  $|\Phi|^2 = S - nH^2 \ge 0$ , with equality if and only if  $M^n$  is totally umbilical. For this reason,  $\Phi$  is also called the total umbilicity tensor of  $M^n$ .

According to Cheng-Yau<sup>[14]</sup>, we introduce the following operator  $\Box$  acting on any  $C^2$ -function f by

$$\Box(f) = \sum_{ij} (nH\delta_{ij} - h_{ij})f_{ij}.$$
(18)

We also need the following algebraic Lemmas.

**Lemma 2.1.**<sup>[1,8]</sup> Let  $\mu_1, \dots, \mu_n$  be real numbers such that

$$\sum_{i} \mu_i = 0 \quad \text{and} \quad \sum_{i} \mu_i^2 = \beta^2,$$

where  $\beta \geq 0$  is constant. Then

$$|\sum_{i} \mu_i^3| \le \frac{n-2}{\sqrt{n(n-1)}} \beta^3,\tag{19}$$

and equality holds if and only if at least n - 1 of  $\mu'_i$ s are equal.

**Lemma 2.2**<sup>[9]</sup>. Let  $M^n$  be an n-dimensional complete Riemannian manifold whose sectional curvature is bounded from below and  $F: M \to R$  be a smooth function which is bounded above on  $M^n$ . Then there exists a sequence of points  $x_k \in M^n$  such that

$$\lim_{k \to \infty} F(x_k) = \sup F,$$
  

$$\lim_{k \to \infty} |\nabla F(x_k)| = 0,$$
  

$$\lim_{k \to \infty} \sup \max\{(\nabla^2(F(x_k)))(X, X) : |X| = 1\} \le 0.$$

# **3 Proof of Theorems**

First, we give the following Lemma.

Lemma 3.1. With the same assumptions as Theorem 1.2.

(1) we have the following inequality,

$$\Box(nH) \ge \frac{1}{n-1} |\Phi|^2 Q_P(|\Phi|),$$
(20)

where

$$Q_P(x) = -(n-2)x^2 - (n-2)x\sqrt{x^2 + n(n-1)P} + n(n-1)(P+c),$$

and

$$c=2\delta-c_0>0.$$

(2) If the mean curvature H is bounded, then there is a sequence of points  $\{x_k\}$  in M such that

$$\lim_{k \to \infty} nH(x_k) = \sup(nH), \quad \lim_{k \to \infty} |\nabla(nH)(x_k)| = 0, \quad \lim_{k \to \infty} \sup(\Box(nH)(x_k)) \le 0.$$
(21)

*Proof.* (1) Putting  $\mu_i = \lambda_i - H$  and  $|\Phi|^2 = \sum_i \mu_i^2 = S - nH^2$ . From (12),(17), we have

$$\Box(nH) = \sum_{ij} (nH\delta_{ij} - h_{ij})(nH)_{ij} = nH\triangle(nH) - \sum_{ij} h_{ij}(nH)_{ij}$$

$$= \frac{1}{2}\triangle[(nH)^2] - n^2 |\nabla H|^2 - \sum_{ij} h_{ij}(nH)_{ij}$$

$$= \frac{1}{2}\triangle S - n^2 |\nabla H|^2 - \sum_{ij} h_{ij}(nH)_{ij}$$

$$= \sum_{ijk} h_{ijk}^2 - n^2 |\nabla H|^2 - S^2 + nH\sum_i \lambda_i^3$$

$$+ nH\sum_i \lambda_i K_{n+1in+1i} - S\sum_i k_{n+1in+1i} + \sum_{ij} (\lambda_i - \lambda_j)^2 K_{ijij}.$$
(22)

Firstly, we estimate (I):

Taking the covariant derivative of the equation (12), we have

$$2n^2 H H_k = 2\sum_{ij} h_{ij} h_{ijk}.$$
(23)

Therefore

$$n^{4}H^{2}|\nabla H|^{2} = \sum_{k} (\sum_{ij} h_{ij}h_{ijk})^{2} \le S(\sum_{ijk} h_{ijk}^{2}).$$
(24)

Since  $P \ge 0$ , we have  $n^2 H^2 \ge S$ , so from (24), we obtain

$$\sum_{ijk} h_{ijk}^2 - n^2 |\nabla H|^2 \ge 0.$$
(25)

Secondly, we estimate (II):

It is easy to know that  $\sum_{i} \lambda_i^3 = nH^3 + 3H\sum_{i} \mu_i^2 + \sum_{i} \mu_i^3$ . By applying Lemma 2.1 to real numbers  $\mu_1, \dots, \mu_n$ , we obtain

$$-S^{2} + nH\sum_{i}\lambda_{i}^{3} = -(|\Phi|^{2} + nH^{2})^{2} + n^{2}H^{4} + 3nH^{2}|\Phi|^{2} + nH\sum_{i}\mu_{i}^{3}$$
  

$$\geq -|\Phi|^{4} - \frac{n(n-2)}{\sqrt{n(n-1)}}|H||\Phi|^{3} + nH^{2}|\Phi|^{2}.$$
(26)

Finally, we estimate (III):

Using curvature condition (\*), we get

$$nH\sum_{i}\lambda_{i}K_{n+1in+1i} - S\sum_{i}k_{n+1in+1i} = nc_{0}(nH^{2} - S) = -nc_{0}|\Phi|^{2}$$
(27)

and

$$\sum_{ij} (\lambda_i - \lambda_j)^2 K_{ijij} = \sum_{ij} (\mu_i - \mu_j)^2 K_{ijij} \ge \delta \sum_{ij} (\mu_i - \mu_j)^2 = 2n\delta |\Phi|^2.$$
(28)

From (27) and (28), we have

$$III \ge n(2\delta - c_0)|\Phi|^2.$$
<sup>(29)</sup>

From (22),(25), (26),(29) and set  $c = 2\delta - c_0$ , we have

$$\Box(nH) \ge -|\Phi|^2 [|\Phi|^2 + \frac{n(n-2)}{\sqrt{n(n-1)}} |H| |\Phi| - n(c+H^2)].$$
(30)

From Gauss equation, we have

$$H^{2} = \frac{1}{n(n-1)} [|\Phi|^{2} + n(n-1)P].$$
(31)

From (30) and (31), we have

$$\Box(nH) \ge \frac{1}{n-1} |\Phi|^2 Q_P(|\Phi|), \tag{32}$$

where  $Q_P(x) = -(n-2)x^2 - (n-2)x\sqrt{x^2 + n(n-1)P} + n(n-1)(P+c)$ .

(2) Since  $M^n$  is orientable,  $P \ge 0$  and in the case where P = 0, the mean curvature function H does not change sign, we can assume that  $H \ge 0$  (by changing the orientation of  $M^n$  if necessary).

If  $H \equiv 0$  the result is obvious. Let suppose that H is not identically zero, we may assume that sup H > 0. From

$$(\lambda_i)^2 \le S \le n^2 H^2$$
, i.e.  $|\lambda_i| \le n|H|$ . (33)

Since H is bounded and (33), we know that S is also bounded. From (11), we have

$$R_{ijij} \ge \delta - \lambda_i \lambda_j \ge \delta - S. \tag{34}$$

This shows that the sectional curvatures of  $M^n$  are bounded from below because *S* is bounded. Therefore we may apply Lemma 2.2 to the function *nH* and obtain a sequence of points  $\{x_k\} \in M^n$  such that

$$\lim_{k \to \infty} nH(x_k) = n \sup H, \quad \lim_{k \to \infty} |\nabla H(x_k)| = 0, \quad \lim_{k \to \infty} \sup(nH_{ii}(x_k)) \le 0.$$
(35)

From (33), we have

$$0 \le nH - |\lambda_i| \le nH - \lambda_i,\tag{36}$$

By applying  $\Box(nH)$  at  $x_k$ , we have

$$\lim_{k \to \infty} \sup(\Box(nH)(x_k)) = \lim_{k \to \infty} \sum_{i} \sup[nH(x_k) - \lambda_i(x_k)] nH_{ii}(x_k) \le 0.$$
(37)

Proof of Theorem 1.2. From the assumptions of Theorem 1.2, we can assume that  $H \ge 0$  on  $M^n$ . If  $\sup |\Phi|^2 = +\infty$ , then (ii) of Theorem 1.2 is trivially satisfied and there is nothing to prove. If  $\sup |\Phi|^2 = 0$ , then (i) of Theorem 1.2 holds and there is nothing to prove. Then, let us assume that  $0 < \sup |\Phi|^2 < +\infty$ . From (31), we know that H is bounded. According to (2) of Lemma 3.1, there exists a sequence of points  $\{x_k\}$  in  $M^n$  such that

$$\lim_{k \to \infty} nH(x_k) = \sup(nH), \quad \lim_{k \to \infty} |\nabla(nH)(x_k)| = 0, \quad \lim_{k \to \infty} \sup(\Box(nH)(x_k)) \le 0.$$
(38)

From (31), we have  $\lim_{\to\infty} |\Phi|^2(x_k) = \sup_{\to\infty} |\Phi|^2$ . Evaluating (20) at the point  $x_k$  of the sequence, taking the limit and using (38), we obtain that

$$0 \ge \lim_{k \to \infty} \Box(nH)(x_k) \ge \frac{1}{n-1} \sup |\Phi|^2 \mathcal{Q}_P(\sup |\Phi|).$$
(39)

Since  $P \ge 0$  and  $c = 2\delta - c_0 > 0$ ,  $Q_P(0) = P + c > 0$  and  $Q_P(x)$  is strictly decreasing for  $x \ge 0$ , with  $Q_P(x_0) = 0$  at

$$x_0 = \sqrt{\frac{n(n-1)}{(n-2)(nP+2c)}}(P+c) > 0.$$
(40)

Therefore (39) implies

$$\sup |\Phi|^2 \ge \frac{n(n-1)(P+c)^2}{(n-2)(nP+2c)} = D(n,P).$$
(41)

This proves the inequality in (ii) of Theorem 1.2.

If P > 0, from Gauss equation, we know  $n^2 H^2 > S \ge \lambda_i^2$ , so  $nH - \lambda_i \ge nH - |\lambda_i| > 0$ , i.e.

$$nH - \lambda_i > 0. \tag{42}$$

From (42), we know the operator  $\Box$  is positive definite, that is, the operator  $\Box$  is elliptic. From (31), we have

$$\Box(|\Phi|^{2}) = \frac{n-1}{n} \Box(n^{2}H^{2})$$

$$= 2\frac{n-1}{n} nH \Box(nH) + 2\frac{n-1}{n} (nH - \lambda_{i})(nH_{i})^{2}$$

$$\geq 2\frac{n-1}{n} nH \Box(nH)$$

$$\geq 2\frac{n-1}{n} nH |\Phi|^{2} Q_{P}(|\Phi|) = 2(n-1)H |\Phi|^{2} Q_{P}(|\Phi|).$$
(43)

If  $\sup_{M} |\Phi| = x_0$ , then  $0 \le |\Phi| \le \sup_{M} |\Phi| = x_0$ , so we have

$$Q_P(|\Phi|) \ge 0. \tag{44}$$

From (43) and (44), we have

$$\Box(|\Phi|^2) \ge 0. \tag{45}$$

If  $\sup |\Phi|^2 = D(n, P)$  and this supremum is attained at some point of  $M^n$ , then by the maximum principle  $|\Phi|$  must be constant,  $|\Phi| = x_0$ . From (31), we know that *H* is constant. Thus, (20) becomes trivially an equality

$$\Box(nH) = 0 = \frac{1}{n-1} |\Phi|^2 Q_P(|\Phi|).$$
(46)

Therefore, all the inequality in the proof of (1) of Lemma 3.1 must be equalities. So (27) becomes an equality, i.e.  $\sum_{ijk} h_{ijk}^2 = n^2 |\nabla H|^2$ , since *H* is constant, we know that  $\sum_{ijk} h_{ijk}^2 = 0$ , i.e.  $h_{ijk} = 0$ , for  $i, j, k \in \{1, \dots, n\}$ . From (13), we have  $0 = d\lambda_i - 2\sum_k h_{ik}\omega_{ki} = d\lambda_i$ , hence  $\lambda_i$  is constant.

From (26) and Lemma 2.1, we know that  $M^n$  has two distinct principal curvatures, one of them being simple, after reenumeration if necessary, we can assume that  $\mu_1 = \cdots = \mu_{n-1} \ge 0$ ,  $\mu_n \neq \mu_1$ , where  $\mu_i = \lambda_i - H$ ,  $i = 1, \cdots, n$ . Thus  $\lambda_i \ge H \ge 0$  for  $i = 1, \cdots, n-1$ , we set  $\lambda = \lambda_1 = \cdots = \lambda_{n-1} \ge 0$ ,  $\mu = \lambda_n$ .

From the equality of (28), we have  $\sum_{ij} (\lambda_i - \lambda_j)^2 (K_{ijij} - \delta) = 0$ . Since  $K_N \ge \delta$ , so if  $i \ne j$ , then  $(\lambda_i - \lambda_j)^2 (K_{ijij} - \delta) = 0$ , so  $K_{ijij} = \delta$  or  $\lambda_i = \lambda_j$  for  $i \ne j$ . If  $\lambda_i \ne \lambda_j$ , from (13), we have  $(\lambda_i - \lambda_j)\omega_{ij} = 0$ , so  $\omega_{ij} = 0$ . From (10) and  $\omega_{ij} = 0$ , we have

$$R_{ijij} = 0(\lambda_i \neq \lambda_j). \tag{47}$$

From (11), we have

$$\lambda \mu + \delta = 0. \tag{48}$$

On other hand

$$(n-1)\lambda + \mu = nH = \text{constant.}$$
(49)

From (48) and (49), we have

$$\lambda = \frac{1}{2(n-1)} [nH + \sqrt{n^2 H^2 + 4(n-1)\delta}], \quad \mu = \frac{1}{2} [nH - \sqrt{n^2 H^2 + 4(n-1)\delta}].$$

So  $M^n$  has two distinct constant principal curvatures, one of them being simple. This proves the Theorem 1.2.

Proof of Theorem 1.3. From the assumptions of Theorem 1.3, we can assume that H > 0 on  $M^n$ . From (30), we have

$$\Box(nH) \ge -|\Phi|^2 [|\Phi|^2 + \frac{n(n-2)}{\sqrt{n(n-1)}} H|\Phi| - n(c+H^2)].$$
(50)

Consider the quadratic form  $P(x,y) = -x^2 - \frac{n-2}{\sqrt{n-1}}xy + y^2$ . By the orthogonal transformation

$$u = \frac{1}{\sqrt{2n}} ((1 + \sqrt{n-1})y + (1 - \sqrt{n-1})x)$$
$$v = \frac{1}{\sqrt{2n}} ((-1 + \sqrt{n-1})y + (1 + \sqrt{n-1})x)$$

 $P(x,y) = \frac{n}{2\sqrt{n-1}}(u^2 - v^2)$ . Take  $x = |\Phi|$  and  $y = \sqrt{n}H$ ; we obtain  $u^2 + v^2 = x^2 + y^2$ , and by (50), we have

$$\Box(nH) \geq |\Phi|^{2}(nc + \frac{n}{2\sqrt{n-1}}(u^{2} - v^{2}))$$
  

$$\geq |\Phi|^{2}(nc - \frac{n}{2\sqrt{n-1}}(u^{2} + v^{2}) + \frac{n}{2\sqrt{n-1}}2u^{2})$$
  

$$\geq |\Phi|^{2}(nc - \frac{n}{2\sqrt{n-1}}(u^{2} + v^{2}))$$
  

$$\geq |\Phi|^{2}(nc - \frac{n}{2\sqrt{n-1}}S).$$
(51)

From (12) and  $S \le 2\sqrt{n-1}c$ , we know that *H* is bounded. According to (2) of Lemma 3.1, there exists a sequence of points  $\{x_k\}$  in  $M^n$  such that

$$\lim_{k \to \infty} nH(x_k) = \sup(nH), \quad \lim_{k \to \infty} |\nabla(nH)(x_k)| = 0, \quad \lim_{k \to \infty} \sup(\Box(nH)(x_k)) \le 0.$$
(52)

From (31) we have

$$\lim_{k \to \infty} |\Phi|^2(x_k) = \sup |\Phi|^2.$$
(53)

and

$$\lim_{k \to \infty} S(x_k) = \lim_{k \to \infty} |\Phi|^2(x_k) + \lim_{k \to \infty} (nH)(x_k) = \sup S.$$
(54)

Evaluating (51) at the points  $x_k$  of the sequence, taking the limit and using (52), we obtain that

$$0 \geq \lim_{k \to \infty} \sup(\Box(nH)(x_k))$$
  
 
$$\geq \sup |\Phi|^2 (nc - \frac{n}{2\sqrt{n-1}} \sup S) \geq 0.$$
(55)

we have  $\sup |\Phi|^2 = 0$ , that is  $\Phi = 0$  or  $\sup S = 2\sqrt{n-1}c$ . If  $\sup \Phi = 0$ , then  $S = nH^2$  and  $M^n$  is totally umbilical.

Since P > 0, we know that  $\Box$  is an elliptic operator. From (12) and  $S \le 2\sqrt{n-1}c$ , we have

$$\Box(S) = \Box(n^{2}H^{2}) = 2nH\Box(nH) + 2(nH - \lambda_{i})(nH_{i})^{2}$$
  

$$\geq 2nH\Box(nH) \geq 2nH|\Phi|^{2}(nc - \frac{n}{2\sqrt{n-1}}S) \geq 0.$$
(56)

If  $\sup S = 2\sqrt{n-1}c$  and this supremum is attained at some point of  $M^n$ , then by the maximum principle *S* must be constant,  $S = 2\sqrt{n-1}c$ . From (12), we know that *H* is constant. Thus, (51) becomes trivially an equality

$$\Box(nH) = 0 = |\Phi|^2 (nc - \frac{n}{2\sqrt{n-1}}S).$$
(57)

Therefore, all inequalities in proof of (51) must be equalities. From u = 0, we have

$$|\Phi| = \frac{\sqrt{n-1}+1}{\sqrt{n-1}-1}\sqrt{n}H > 0.$$
(58)

By using Lemma 2.1 and (58), we know that  $M^n$  has two distinct principal curvature, one of them being simple. Since S and H are constants, it is easy to know that  $M^n$  has two distinct constant principal curvatures, one of them being simple. This proves Theorem 1.3.

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