

## A CARLEMAN ESTIMATE ON GROUPS OF HEISENBERG TYPE\*

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(Received May. 24, 2005; revised Sup. 11, 2006)

**Abstract** A Pohozaev-Rellich type identity for the  $p$ -sub-Laplacian on groups of Heisenberg type,  $G$ , is given. A Carleman estimate for the sub-Laplacian on  $G$  is established and, as a consequence, a unique continuation result is proved.

**Key Words** Pohozaev-Rellich type identity, Carleman estimate, unique continuation, sub-Laplacian, group of Heisenberg type.

**2000 MR Subject Classification** 35H99, 43A80.

**Chinese Library Classification** O175.2.

### 1. Introduction

Let  $G$  be a Carnot group of step  $r$ , with Lie algebra  $\mathfrak{g} = \bigoplus_{j=1}^r V_j$ . Suppose that  $\mathfrak{g}$  is equipped with a scalar product with respect to which the  $V_j$ 's are mutually orthogonal. We use the exponential map:  $\exp : \mathfrak{g} \rightarrow G$  to define analytic maps:  $\xi_i : G \rightarrow V_i, i = 1, \dots, r$ , through the equation  $g = \exp(\xi_1(g) + \dots + \xi_r(g))$ . Here,  $\xi(g) = \xi_1(g) + \dots + \xi_r(g)$  is such that  $g = \exp(\xi(g))$ . With  $m = \dim(V_1)$ , the coordinates of the projection  $\xi_1$  in the basis  $X_1, \dots, X_m$  is denoted by  $x_1 = x_1(g), \dots, x_m = x_m(g)$  and we set  $x = x(g) = (x_1(g), \dots, x_m(g)) \in \mathbb{R}^m$ . Fix an orthogonal basis  $Y_1, \dots, Y_k$  of  $V_2$  and define the exponential coordinates in the second layer  $V_2$  of a point  $g \in G$  by  $y = (y_1, \dots, y_k) \in \mathbb{R}^k$ .

For a Carnot group of step two with Lie algebra  $\mathfrak{g} = V_1 \oplus V_2$ , the map  $J : V_2 \rightarrow \text{End}(V_1)$  defined by

$$\langle J(\xi_2)\xi'_1, \xi''_1 \rangle = \langle \xi_2, [\xi'_1, \xi''_1] \rangle, \quad \text{for } \xi_2 \in V_2 \text{ and } \xi'_1, \xi''_1 \in V_1.$$

A Carnot group of step two,  $G$ , is called of Heisenberg type, if for every vector  $\xi_2 \in V_2$  with  $|\xi_2| = 1$ , the map  $J(\xi_2) : V_1 \rightarrow V_1$  is orthogonal. (see [1]).

\*The project supported by National Natural Science Foundation of China, Grant No. 10371099.

The sub-Laplacian on the group of Heisenberg type  $G$  is given by

$$L = \sum_{j=1}^m X_j^2, \tag{1.1}$$

where  $\{X_1, \dots, X_m\}$  is the basis of  $V_1$ . The  $p$ -sub-Laplacian on  $G$  is

$$L_p u = \sum_{j=1}^m X_j (|Xu|^{p-2} X_j u), \tag{1.2}$$

for a function  $u$  on  $G$ .

Kaplan in [1] solved the fundamental solutions for the sub-Laplacian on the group of Heisenberg type  $G$ . Recently, Capogna, Danielli and Garofalo [2] obtained the fundamental solutions of  $p$ -sub-Laplacian on such groups. Garofalo and Vassilev [3, 4] also studied positive solutions to the CR Yamabe problem on  $G$ . Garofalo [5] obtained a Carleman estimate and unique continuation for the generalized Baouendi-Grushin operator. Zhang, Niu and Wang [6] considered the similar properties for the sub-Laplacian on the Heisenberg group.

The purpose of the present paper is to prove a Carleman estimate for  $L$  and unique continuations for solutions of the differential inequality (5.1) below.

In Section 2 we collect several basic results on the group of Heisenberg type and the sub-Laplacian. Section 3 is devoted to prove the Pohozaev-Rellich type identity for the  $p$ -sub-Laplacian. In Section 4 a Carleman estimate for the sub-Laplacian is established under suitable assumptions. As a consequence of it, the last section contains a unique continuation result.

## 2. Some Known Facts

Suppose that  $G$  is a group of Heisenberg type. As stated in [3, 4], it has

$$X_j = \frac{\partial}{\partial x_j} + \frac{1}{2} \sum_{i=1}^k \langle [\xi, X_j], Y_i \rangle \frac{\partial}{\partial y_i}, j = 1, \dots, m, \tag{2.1}$$

where  $\xi = \xi_1 + \xi_2 \in \mathfrak{g} = V_1 \oplus V_2$ ,  $x = (x_1, \dots, x_m) \in \mathbb{R}^m$ ,  $y = (y_1, \dots, y_k) \in \mathbb{R}^k$ .

For a function  $u$  on  $G$ , we denote the horizontal gradient by  $Xu = (X_1 u, \dots, X_m u)$  and let  $|Xu| = \left(\sum_{j=1}^m |X_j u|^2\right)^{\frac{1}{2}}$ . A family of non-isotropic dilation on  $G$  is

$$\delta_\lambda(x, y) = (\lambda x, \lambda^2 y), \quad \lambda > 0, (x, y) \in G. \tag{2.2}$$

A homogeneous dimension of  $G$  is  $Q = m + 2k$ . The generator of the group  $\{\delta_\lambda\}_{\lambda>0}$  is

$$Z = \sum_{j=1}^m x_j \frac{\partial}{\partial x_j} + 2 \sum_{i=1}^k y_i \frac{\partial}{\partial y_i}. \tag{2.3}$$