

# Higher-Order Rogue Waves of the Nonlocal Nonlinear Schrödinger Equation in the Defocusing Regime

Xiu-Bin Wang and Shou-Fu Tian\*

*School of Mathematics, JCAM, China University of Mining and Technology,  
Xuzhou 221116, P.R. China.*

*Received 1 July 2024; Accepted (in revised version) 6 November 2024.*

---

**Abstract.** The previous studies have shown that the defocusing nonlinear Schrödinger equation (NLSE) has no the modulational instability, and was not found to admit the rogue wave phenomenon so far. In this paper, we address the question of the higher-order rogue wave solutions of the nonlocal  $\mathcal{PT}$ -symmetric NLSE in the defocusing regime. Based on Darboux transformation and iterations, we derive an explicit solution for the higher-order rogue waves by adopting a variable separation and Taylor expansion technique. The higher-order rogue wave solutions are expressed in separation-of-variables form. Furthermore, in order to understand these solutions better, patterns of the rogue waves for lowest three order are explored clearly and conveniently. The reported results may be useful for the design of experiments for observation of rogue waves in the defocusing nonlinear physical systems.

**AMS subject classifications:** 335Q51, 35Q53, 35C99, 68W30, 74J35

**Key words:** Defocusing nonlocal nonlinear Schrödinger equation, variable separation technique, parity-time symmetric, rogue waves.

---

## 1. Introduction

It is well known that integrable nonlinear systems play a pivotal role in mathematical physics. Most of these integrable nonlinear systems are local equations. In other words, the solutions evolution relies only on local solution values. In recent years, integrable nonlocal nonlinear systems have attracted a lot of attention and are extensively studied. This type of equation is Parity-time ( $\mathcal{PT}$ ) symmetric — i.e. it is invariant under complex conjugation and joint transformations. The first such system introduced by Ablowitz and Musslimani — c.f., e.g. Refs. [3–5, 20], has the form

$$iu_t(x, t) + \frac{1}{2}u_{xx}(x, t) \pm u^2(x, t)\bar{u}(-x, t) = 0, \quad (1.1)$$

---

\*Corresponding author. *Email addresses:* xbwang@cumt.edu.cn (X.-B. Wang), sftian@cumt.edu.cn (S.-F. Tian)

where the bar denotes the complex conjugation and the sign  $\pm$  determines whether the Eq. (1.1) is focused or defocused. It is worth mentioning that  $\mathcal{PT}$  symmetric equations play a vital role in optics and other physical fields [26]. Inspired by the above nonlocal  $\mathcal{PT}$  symmetric nonlinear equation, new nonlocal nonlinear integrable equations have been proposed and studied over past few years [1, 2, 5, 8, 21, 27, 29, 30, 32, 33, 41, 59].

Rogue waves originally attracted a lot of attention due to mysterious and severely destructive oceanic surface waves [25, 38]. This types of waves are spontaneous large waves that “appear out of nowhere, disappear without a trace” [7]. The first analytical expression of rogue waves was derived for the cubic nonlinear Schrödinger equation by Peregrine [39]. Thereafter, higher-order rogue waves in the local NLSE were found, and their interesting dynamical patterns were also discussed [6, 9, 10, 12, 15–17, 22–24, 35–37, 40, 45, 50]. For instance, Kedziora *et al.* [24] studied circular rogue wave clusters of the local NLSE by adopting Darboux transformation (DT). Soon after Guo *et al.* [22] derived  $N$ -th order rogue wave solutions of the local NLSE using a generalized DT. In addition, Ohta and Yang [37] investigated  $N$ -th order rogue wave solutions of the local NLSE by using the Hirota bilinear method. A variable separation technique presented by Mu and Qin [12] is used to construct  $N$ -th order explicit rogue wave solutions of the local NLSE. In particular, Mu *et al.* [35] extend above own work to study general higher-order rogue waves of a vector NLSE using a DT with an asymptotic expansion method. Nowadays, rogue waves have been rapidly overspread to many research fields encompassing oceanography [18], nonlinear optics [43], Bose-Einstein condensation [14], superfluid helium [19], plasmas [34] and even finance [52, 53], quantum droplet [28], etc. As an unexplored and interesting subject, rogue waves in nonlocal integrable systems have received much attention recently [41, 42, 46–48, 55, 56].

Motivated by the works of Baronio *et al.* [12] and Mu *et al.* [35], we next attempt to study rogue wave solutions in several reverse-time integrable nonlocal nonlinear equations using the variable separation technique. As a typical example, we consider the scalar reverse-time nonlocal NLSE

$$iq_t(x, t) + \frac{1}{2}q_{xx}(x, t) + \sigma q^2(x, t)\tilde{q}(x, t) = 0, \quad \sigma = \pm 1, \quad (1.2)$$

cf. [5, 44, 51, 54, 57, 58]. Here we define  $\tilde{q}(x, t) = q(x, -t)$ . If  $\sigma = 1$ , the Eq. (1.2) describes the focusing regime while the case  $\sigma = -1$  is related to the defocusing regime. This nonlocal equation which also called  $\mathcal{PT}$ -symmetric NLSE is a special reduction from the famous AKNS system. Due to this  $\mathcal{PT}$ -symmetry, it is related to a cutting research area of contemporary physics [13, 26]. Therefore, this system have attracted a lot of attention in optics and other physical fields in recent years. Bounded multi-soliton solutions and their asymptotic analysis for the Eq. (1.2) had been explored [44]. Yang [57] had derived general multi-soliton solutions in the Eq. (1.2). In addition, there are also many other researchers who have made their own contributions to the study of reverse-time nonlocal NLSE. For instance, Ye and Zhang [58] had constructed the general soliton solutions with zero and non-zero background to a reverse-time nonlocal NLSE via binary DT. The Eq. (1.2) was generalized by Ma [31] to a multi-component one and construct its multi-soliton solutions. In this work, we mainly consider system (1.2) with the defocusing case.

The previous studies show that the defocusing NLSE has no modulational instability and does not possess the rogue wave phenomenon. As unexplored and interesting subject in the defocusing nonlocal  $\mathcal{PT}$ -symmetric NLSE, some natural problems arise:

1. Can we construct the rogue wave solution in the above nonlocal  $\mathcal{PT}$ -symmetric NLSE in the defocusing regime?
2. What about the patterns of rogue waves in the defocusing regime?

The main objective of the present work is to solve the problems listed above by considering the defocusing nonlocal  $\mathcal{PT}$ -symmetric NLSE. Firstly, we derive higher-order rogue wave solutions of a defocusing nonlocal  $\mathcal{PT}$ -symmetric NLSE by utilizing the DT via a variable separation technique. Moreover, the dynamic patterns of these solutions are graphically discussed by varying the available parameters. It is worth noting that rogue wave patterns in the defocusing regime also exhibit very rich structures.

The structure of this paper is as follows. In Section 2, the variable separation technique to treat the Lax pair of the nonlocal defocusing  $\mathcal{PT}$ -symmetric NLSE will be presented. Then the rogue waves of arbitrary order for these defocusing nonlocal  $\mathcal{PT}$ -symmetric NLSE are established via a Taylor expansion mechanism. In Section 3, a range of dynamic behaviors of these obtained rogue wave solutions are displayed graphically. Finally, our conclusions and a brief discussion of the obtained results are provided.

## 2. Variable Separation Technique

It is known that the Eq. (1.2) with  $\sigma = -1$  is a compatibility condition, for the system of linear matrix differential equations (alias the Lax pair)

$$\Psi_x = \mathbf{U}\Psi, \quad \Psi_t = \mathbf{V}\Psi \quad (2.1)$$

with

$$\begin{aligned} \mathbf{U} &= i(\lambda\sigma_3 + Q), \\ \mathbf{V} &= i\lambda(\lambda\sigma_3 + Q) + \frac{1}{2}\sigma_3(Q_x - iQ^2), \end{aligned}$$

and

$$Q = \begin{bmatrix} 0 & -\tilde{q}(x, t) \\ q(x, t) & 0 \end{bmatrix},$$

where  $\sigma_3 = \text{diag}(1, -1)$ .

For convenience, we choose the following plane wave solution as an initial solution of the nonlocal defocusing NLSE:

$$q^{[0]} = i\rho \exp(i\rho^2 t), \quad (2.2)$$

where  $\rho$  is a real constant. Next we consider a family of the solutions of the Lax equa-

tion (2.1) corresponding to  $\lambda$ , it infers

$$\Psi = \begin{bmatrix} \psi \\ \phi \end{bmatrix} = \Lambda \mathcal{R} \mathcal{E} \mathcal{Z}, \quad \mathcal{R} = \exp(i\Theta x), \quad \mathcal{E} = \exp(i\Omega t), \quad \mathcal{Z} = \begin{bmatrix} z_1 \\ z_2 \end{bmatrix}, \quad (2.3)$$

where  $\mathcal{Z}$  is an arbitrary complex two dimensional vector and

$$\Lambda = \begin{bmatrix} \exp(-i\rho^2 t) & 0 \\ 0 & \exp(i\rho^2 t) \end{bmatrix}^{1/2}.$$

Here the matrices  $\Theta$  and  $\Omega$  are subject to

$$[\Theta, \Omega] = \Theta\Omega - \Omega\Theta = 0. \quad (2.4)$$

It follows from (2.1) and (2.4) that

$$\Theta = \begin{bmatrix} \lambda & -i\rho \\ i\rho & -\lambda \end{bmatrix}, \quad \Omega = \lambda\Theta.$$

Then the exponential matrices  $\mathcal{R}$  and  $\mathcal{E}$  in (2.3) can be written as

$$\mathcal{R} = \frac{1}{\tau} \begin{bmatrix} \tau \cos(\tau x) + i\lambda \sin(\tau x) & \rho \sin(\tau x) \\ -\rho \sin(\tau x) & \tau \cos(\tau x) - i\lambda \sin(\tau x) \end{bmatrix}, \quad (2.5)$$

$$\mathcal{E} = \frac{1}{\xi} \begin{bmatrix} \xi \cos(\xi t) + i\lambda^2 \sin(\xi t) & \rho \lambda \sin(\xi t) \\ -\rho \lambda \sin(\xi t) & \xi \cos(\xi t) - i\lambda^2 \sin(\xi t) \end{bmatrix}, \quad (2.6)$$

where  $\tau = \sqrt{\lambda^2 + \rho^2}$  and  $\xi = \lambda\tau$ .

Next we focus on constructing higher-order rogue wave solutions of the nonlocal defocusing NLSE. Taking  $\lambda = i\rho(1 + \epsilon)$  in (2.5) and (2.6), and utilizing the Taylor expansion for sines and cosines, we obtain an expansion at  $\epsilon = 0$  for the matrix  $\mathcal{R}$  in (2.5), viz.

$$\mathcal{R}|_{\lambda=i\rho(1+\epsilon)} = \sum_{n=0}^{\infty} \mathcal{R}_n \epsilon^n,$$

where

$$\mathcal{R}_n = \begin{bmatrix} \alpha_n - \beta_n - \beta_{n-1} & \beta_n \\ -\beta_n & \alpha_n + \beta_n + \beta_{n-1} \end{bmatrix},$$

and

$$\begin{aligned} \alpha_n &= \sum_{l=0}^{[n/2]} \mathbf{C}_{n-l}^l 2^{n-2l} \mathbf{A}_{2(n-l)}, \\ \beta_n &= \sum_{l=0}^{[n/2]} \mathbf{C}_{n-l}^l 2^{n-2l} \mathbf{A}_{2(n-l)+1}, \\ \mathbf{C}_n^m &= \frac{n!}{m!(n-m)!}, \quad \mathbf{A}_m = \frac{(\rho x)^m}{m!}, \quad n \geq m, \quad m, n \in \mathbb{N}^+. \end{aligned}$$

Following the same way, the matrix  $\mathcal{E}$  in (2.6) can be expanded around  $\epsilon = 0$  as

$$\mathcal{E}|_{\lambda=i\rho(1+\epsilon)} = \sum_{n=0}^{\infty} \mathcal{E}_n \epsilon^n,$$

where

$$\mathcal{E}_n = \begin{bmatrix} \gamma_n - \theta_n - \theta_{n-1} & \theta_n \\ -\theta_n & \gamma_n + \theta_n + \theta_{n-1} \end{bmatrix}$$

with

$$\begin{aligned} \gamma_n &= \sum_{l=0}^{[3n/4]} \sum_{m=0}^l (-1)^{n-l} \mathbf{C}_{n-l}^m \mathbf{C}_{2(n-l)}^{l-m} 2^{n-l-m} \mathbf{B}_{2(n-l)}, \\ \theta_n &= i \sum_{l=0}^{[(3n+1)/4]} \sum_{m=0}^l (-1)^{n-l} \mathbf{C}_{n-l}^m \mathbf{C}_{2(n-l)+1}^{l-m} 2^{n-l-m} \mathbf{B}_{2(n-l)+1}, \\ \mathbf{B}_m &= \frac{(\rho)^{2m} t^m}{m!}, \end{aligned}$$

and  $l$  is a nonnegative integer. Let us now assume that  $\mathcal{Z}_k$  is a polynomial function of  $\epsilon$ , i.e.

$$\mathcal{Z}(\epsilon) = \sum_{k=0}^{\infty} \mathcal{Z}_k \epsilon^k, \quad \mathcal{Z}_k = \begin{bmatrix} z_{1,k} \\ z_{2,k} \end{bmatrix},$$

so that the solution (2.3) has the form

$$\begin{aligned} \Psi|_{\lambda=i\rho(1+\epsilon)} &= \Lambda \sum_{n=0}^{\infty} \Psi_n \epsilon^n, \\ \Psi_n &= \begin{bmatrix} \psi_n \\ \phi_n \end{bmatrix} = \sum_{k=0}^n \sum_{j=0}^n \mathcal{R}_k \mathcal{E}_j \mathcal{Z}_{n-k-j}. \end{aligned}$$

It follows from the binomial theorem that

$$\begin{aligned} i^j (1+\epsilon)^j \psi(\epsilon) &= \psi[j, 0] + \psi[j, 1] \epsilon + \cdots + \psi[j, N] \epsilon^N + \cdots, \\ i^j (1+\epsilon)^j \phi(\epsilon) &= \phi[j, 0] + \phi[j, 1] \epsilon + \cdots + \phi[j, N] \epsilon^N + \cdots, \end{aligned}$$

and

$$\psi[j, N] = \sum_{k=0}^n i^j \mathbf{C}_j^{n-k} \psi_k, \quad \phi[j, N] = \sum_{k=0}^n i^j \mathbf{C}_j^{n-k} \phi_k.$$

Utilizing a generalized DT presented by Guo *et al.* [22], we immediately obtain the following  $N$ -th order rogue wave solutions for the nonlocal defocusing NLSE:

$$q^{[N]} = i \left[ 1 + 2i \frac{\nabla_{N2}}{\nabla_{N1}} \right] \exp(it),$$

where

$$\nabla_{N1} = \begin{vmatrix} \psi[N-1,0] & \cdots & \psi[N-1,N-1] & (-1)^N \tilde{\phi}[N-1,0] & \cdots & (-1)^N \tilde{\phi}[N-1,N-1] \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ \psi[0,0] & \cdots & \psi[0,N-1] & (-1)^1 \tilde{\phi}[0,0] & \cdots & (-1)^1 \tilde{\phi}[0,N-1] \\ \phi[N-1,0] & \cdots & \phi[N-1,N-1] & (-1)^{N-1} \tilde{\psi}[N-1,0] & \cdots & (-1)^{N-1} \tilde{\psi}[N-1,N-1] \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ \phi[0,0] & \cdots & \phi[N,N-1] & (-1)^0 \tilde{\psi}[0,0] & \cdots & (-1)^0 \tilde{\psi}[0,N-1] \end{vmatrix},$$

$$\nabla_{N2} = \begin{vmatrix} \phi[N,0] & \cdots & \phi[N,N-1] & (-1)^N \tilde{\psi}[N,0] & \cdots & (-1)^N \tilde{\psi}[N,N-1] \\ \psi[N-2,0] & \cdots & \psi[N-2,N-1] & (-1)^{N-1} \tilde{\phi}[N-2,0] & \cdots & (-1)^{N-1} \tilde{\phi}[N-2,N-1] \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ \psi[0,0] & \cdots & \psi[0,N-1] & (-1)^1 \tilde{\phi}[0,0] & \cdots & (-1)^1 \tilde{\phi}[0,N-1] \\ \phi[N-1,0] & \cdots & \phi[N-1,N-1] & (-1)^{N-1} \tilde{\psi}[N-1,0] & \cdots & (-1)^{N-1} \tilde{\psi}[N-1,N-1] \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ \phi[0,0] & \cdots & \phi[0,N-1] & (-1)^0 \tilde{\psi}[0,0] & \cdots & (-1)^0 \tilde{\psi}[0,N-1] \end{vmatrix}.$$

### 3. Lowest Three Order Rogue Wave Patterns

To verify the effectiveness of our method, we graphically display the first, second and third rogue wave solutions. In what follows, we let  $\rho = 1$  for the analysis convenience.

**Case 1.** Taking  $N = 1$  in the previous section gives

$$\mathcal{R}_0 = \begin{bmatrix} 1-x & x \\ -x & 1+x \end{bmatrix}, \quad \mathcal{E}_0 = \begin{bmatrix} 1-it & it \\ -it & 1+it \end{bmatrix},$$

and

$$\Psi_0 = \begin{bmatrix} \psi_0 \\ \phi_0 \end{bmatrix} = \mathcal{R}_0 \mathcal{E}_0 \mathcal{X}_0,$$

$$\phi[0,0] = \phi_0, \quad \psi[0,0] = \psi_0,$$

$$\phi[1,0] = i\phi_0, \quad \psi[1,0] = i\psi_0.$$

Thus, the first-order rogue wave solution for the nonlocal defocusing NLSE is

$$q^{[1]} = i \left[ 1 + 2i \frac{\nabla_{12}}{\nabla_{11}} \right] \exp(it), \quad (3.1)$$

where

$$\nabla_{11} = \begin{vmatrix} \psi_0 & -\tilde{\phi}_0 \\ \phi_0 & \tilde{\psi}_0 \end{vmatrix}, \quad \nabla_{12} = \begin{vmatrix} i\phi_0 & -i\tilde{\psi}_0 \\ \phi_0 & \tilde{\psi}_0 \end{vmatrix}.$$

The first-order rogue wave solution can be rewritten as

$$q^{[1]} = i \left[ -1 + 2 \frac{(1+2it)(z_{1,0} - z_{2,0})^2}{\nabla} \right] \exp(it),$$

where

$$\nabla = 2(z_{1,0} - z_{2,0})^2 (x^2 + t^2) - 2(z_{1,0}^2 - z_{2,0}^2)x + z_{1,0}^2 + z_{2,0}^2.$$

When  $z_{1,0} = z_{2,0}$ , it can be reduced to the plane wave solution of the defocusing NLSE.

When  $z_{1,0} = -z_{2,0}$ , it can be reduced to

$$q^{[1]} = i \left[ -1 + 4 \frac{(1 + 2it)}{4x^2 + 4t^2 + 1} \right] \exp(it),$$

this rogue wave is nonsingular, as shown in Fig. 1(a).

When  $z_{1,0} = iz_{2,0}$ , it can be reduced to

$$q^{[1]} = i \left[ -1 + \frac{4(1 + 2it)}{4(x + i/2)^2 + 4t^2 + 1} \right] \exp(it),$$

this rogue wave would collapse at  $x = 0$ . Based on the above analysis, we also know the properties of the first-order rogue wave solution.

Fig. 1 shows that this rogue wave is nonsingular. As shown in Fig. 1(a), the solution exhibits the classical  $x$ -symmetric Peregrine soliton by choosing the suitable free parameters. When the free parameters changes, this solution would be  $x$ -asymmetric, which is shown in Figs. 1(b) and 1(c). Thus, we declare that the free parameters can determine the central symmetry pattern of the rogue wave solution.

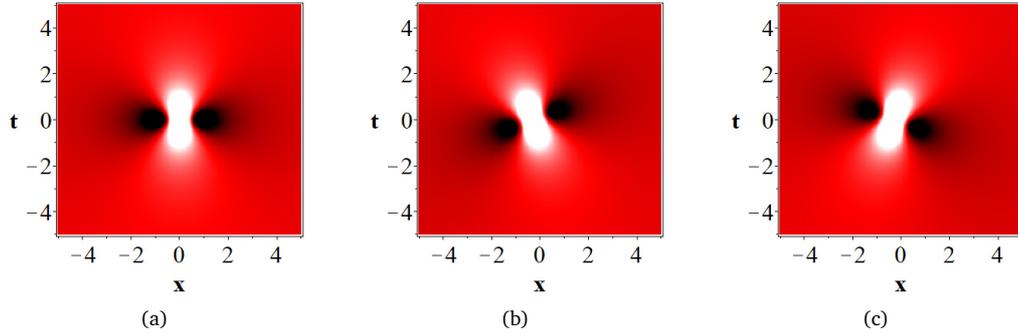


Figure 1: First-order rogue wave solution (3.1) to the nonlocal defocusing NLSE with parameters: (a)  $(z_{1,0}, z_{2,0}) = (1, -1)$ . (b)  $(z_{1,0}, z_{2,0}) = (1, -2i)$ . (c)  $(z_{1,0}, z_{2,0}) = (1, 2i)$ .

**Case 2.** To obtain the second-order rogue wave solutions for the nonlocal defocusing NLSE, here we let  $N = 2$  in the previous section. Then

$$\mathcal{R}_1 = \begin{bmatrix} \mathcal{I}_1 & x^3/3 \\ -x^3/3 & \mathcal{I}_2 \end{bmatrix}, \quad \mathcal{E}_1 = \begin{bmatrix} \mathcal{K}_1 & it(t^2 - 3)/3 \\ -it(t^2 - 3)/3 & \mathcal{K}_2 \end{bmatrix},$$

and

$$\begin{aligned} \mathcal{I}_1 &= -x + x^2 - \frac{1}{3}x^3, & \mathcal{I}_2 &= x + x^2 + \frac{1}{3}x^3, \\ \mathcal{K}_1 &= -2it - t^2 + \frac{1}{3}it^3, & \mathcal{K}_2 &= 2it - t^2 - \frac{1}{3}it^3 \end{aligned} \quad (3.2)$$

with

$$\begin{aligned}\Psi_1 &= \begin{bmatrix} \psi_1 \\ \phi_1 \end{bmatrix} = (\mathcal{R}_0 \mathcal{E}_1 + \mathcal{R}_1 \mathcal{E}_0) \mathcal{Z}_0 + \mathcal{R}_0 \mathcal{E}_0 \mathcal{Z}_1, \\ \phi[0,1] &= \phi_1, & \psi[0,1] &= \psi_1, \\ \phi[2,0] &= -\phi_0, & \psi[2,0] &= -\psi_0, \\ \phi[1,1] &= i(\phi_0 + \phi_1), & \psi[1,1] &= i(\psi_0 + \psi_1), \\ \phi[2,1] &= -(2\phi_0 + \phi_1), & \psi[2,1] &= -(2\psi_0 + \psi_1).\end{aligned}$$

Hence, the second-order rogue wave solutions for the nonlocal defocusing NLSE are

$$q^{[2]} = i \left[ 1 + 2i \frac{\nabla_{22}}{\nabla_{21}} \right] \exp(it), \quad (3.3)$$

where

$$\begin{aligned}\nabla_{21} &= \begin{vmatrix} \psi[1,0] & \psi[1,1] & \tilde{\phi}[1,0] & \tilde{\phi}[1,1] \\ \psi[0,0] & \psi[0,1] & -\tilde{\phi}[0,0] & -\tilde{\phi}[0,1] \\ \phi[1,0] & \phi[1,1] & -\tilde{\psi}[1,0] & -\tilde{\psi}[1,1] \\ \phi[0,0] & \phi[0,1] & \tilde{\psi}[0,0] & \tilde{\psi}[0,1] \end{vmatrix}, \\ \nabla_{22} &= \begin{vmatrix} \phi[2,0] & \phi[2,1] & \tilde{\psi}[2,0] & \tilde{\psi}[2,1] \\ \psi[0,0] & \psi[0,1] & -\tilde{\phi}[0,0] & -\tilde{\phi}[0,1] \\ \phi[1,0] & \phi[1,1] & -\tilde{\psi}[1,0] & -\tilde{\psi}[1,1] \\ \phi[0,0] & \phi[0,1] & \tilde{\psi}[0,0] & \tilde{\psi}[0,1] \end{vmatrix}.\end{aligned}$$

Here we present the main feature of second-order rogue wave solution, which are shown in Figs. 2 and 3. By tuning the free parameters  $z_{1,0}, z_{2,0}, z_{1,1}, z_{2,1}$ , we can get both nonsingular and singular (collapsing) solutions. A nonsingular solution can be observed in Fig. 2(a). In particular, the second-order  $x$ -symmetric rogue waves in Fig. 2(a) separate into three first-order  $x$ -symmetric rogue waves in Fig. 2(b) as  $z_{2,1}$  are chosen a big enough value. Fig. 2(c)

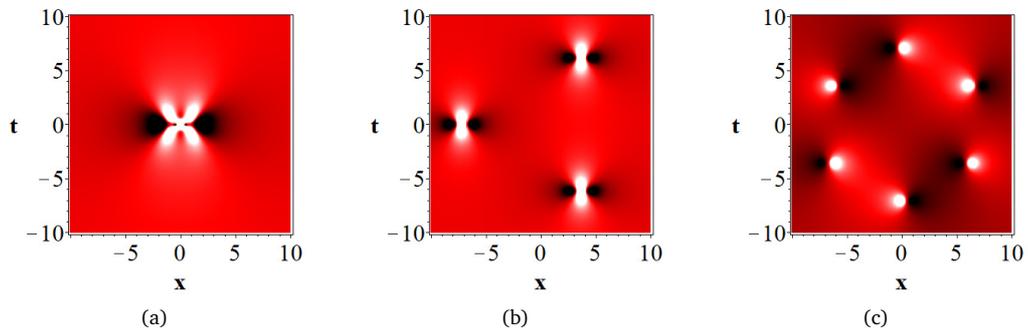


Figure 2: Second-order rogue wave solution (3.3) to the nonlocal defocusing NLSE with parameters (a)  $(z_{1,0}, z_{2,0}) = (1, -1), (z_{1,1}, z_{2,1}) = (0, 0)$ . (b)  $(z_{1,0}, z_{2,0}) = (1, -1), (z_{1,1}, z_{2,1}) = (0, 1000)$ . (c)  $(z_{1,0}, z_{2,0}) = (1, -1), (z_{1,1}, z_{2,1}) = (0, 1000i)$ .

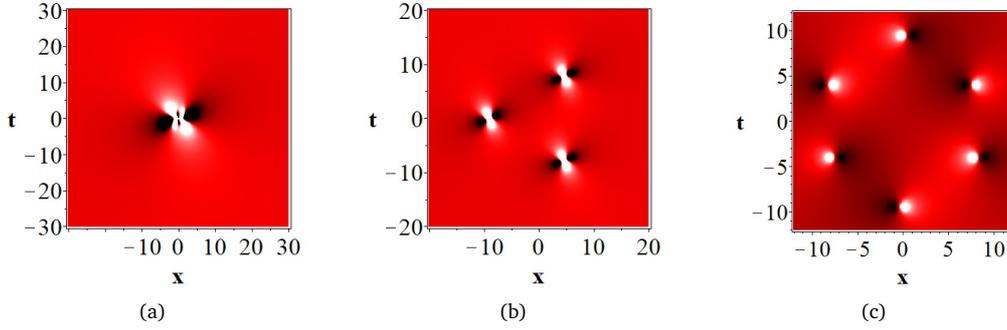


Figure 3: Second-order rogue wave solution (3.3) to the nonlocal defocusing NLSE with parameters (a)  $(z_{1,0}, z_{2,0}) = (1, -i), (z_{1,1}, z_{2,1}) = (0, 0)$ . (b)  $(z_{1,0}, z_{2,0}) = (1, -i), (z_{1,1}, z_{2,1}) = (0, 1000i)$ . (c)  $(z_{1,0}, z_{2,0}) = (1, -i), (z_{1,1}, z_{2,1}) = (0, 1000)$ .

show that the three nonsingular rogue waves separate into six singular rogue waves, since  $z_{2,1}$  is chosen as  $1000i$  in Fig. 2(c) instead of  $1000$  in Fig. 2(b). In addition, we can observe the second-order  $x$ -asymmetric rogue waves in Fig. 3(a), when the parameters  $z_{2,0}$  is chosen as  $-i$  in Fig. 3(a) instead of  $-1$  in Fig. 2(a). Similarly, by turning the free parameters  $z_{1,0}, z_{2,0}, z_{1,1}, z_{2,1}$ , the three first-order  $x$ -asymmetric rogue waves and six singular rogue waves can be observed in Figs. 3(b) and 3(c), respectively.

**Case 3.** In order to exhibit the effectiveness of these solution obtained in this work, we next discuss the third-order rogue wave solutions graphically.

From Fig. 4 to Fig. 6, we find that third-order rogue waves would display an even wider variety of solution patterns. From Fig. 4(a), we observe the third-order  $x$ -symmetric rogue waves. The third-order  $x$ -symmetric rogue waves separate into six first-order  $x$ -symmetric rogue waves in Fig. 4(b) as  $z_{2,2}$  are chosen a big enough value. Fig. 4(b) displays six Peregrine-like peaks arranged in pentagon patterns. When  $(z_{1,2}, z_{2,2})$  is chosen as  $1000(i, 1)$  in Fig. 4(c) instead of  $(0, 10000)$  in Fig. 4(b), the solution shows collapsing solutions in

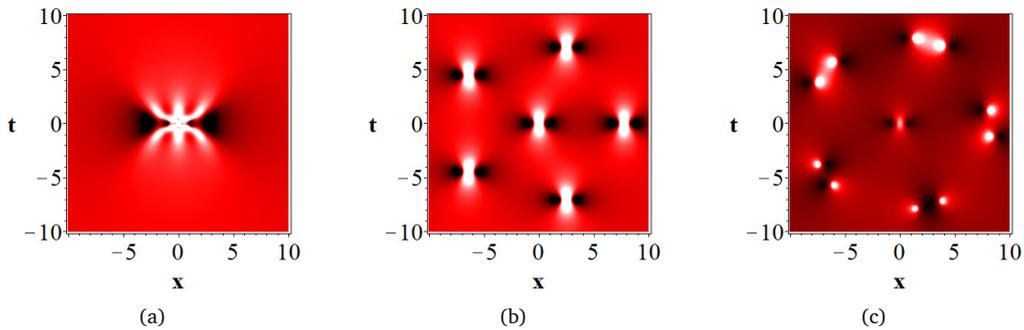


Figure 4: Third-order rogue wave solution to the nonlocal defocusing NLSE with parameters (a)  $(z_{1,0}, z_{2,0}) = (1, -1), (z_{1,1}, z_{2,1}) = (0, 0), (z_{1,2}, z_{2,2}) = (0, 0)$ . (b)  $(z_{1,0}, z_{2,0}) = (1, -1), (z_{1,1}, z_{2,1}) = (0, 0), (z_{1,2}, z_{2,2}) = (0, 10000)$ . (c)  $(z_{1,0}, z_{2,0}) = (1, -1), (z_{1,1}, z_{2,1}) = (0, 0), (z_{1,2}, z_{2,2}) = 10000(i, 1)$ .

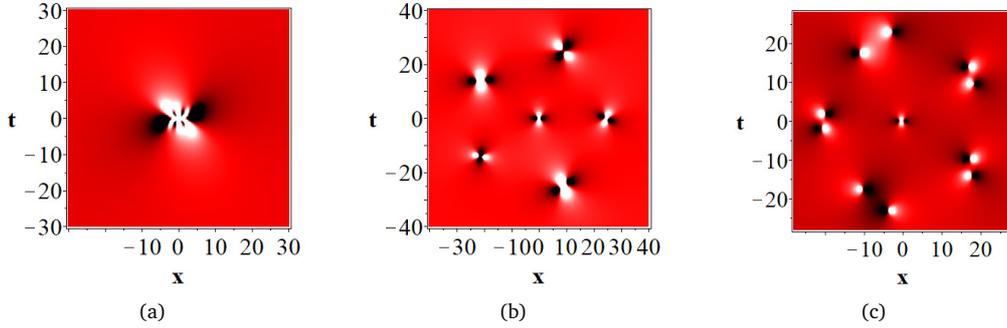


Figure 5: Third-order rogue wave solution to the nonlocal defocusing NLSE with parameters (a)  $(z_{1,0}, z_{2,0}) = (1, -i), (z_{1,1}, z_{2,1}) = (0, 0), (z_{1,2}, z_{2,2}) = (0, 0)$ . (b)  $(z_{1,0}, z_{2,0}) = (1, -i), (z_{1,1}, z_{2,1}) = (1000i, 1000i), (z_{1,2}, z_{2,2}) = (0, 0)$ . (c)  $(z_{1,0}, z_{2,0}) = (1, -i), (z_{1,1}, z_{2,1}) = (-100, 1000), (z_{1,2}, z_{2,2}) = (0, 0)$ .

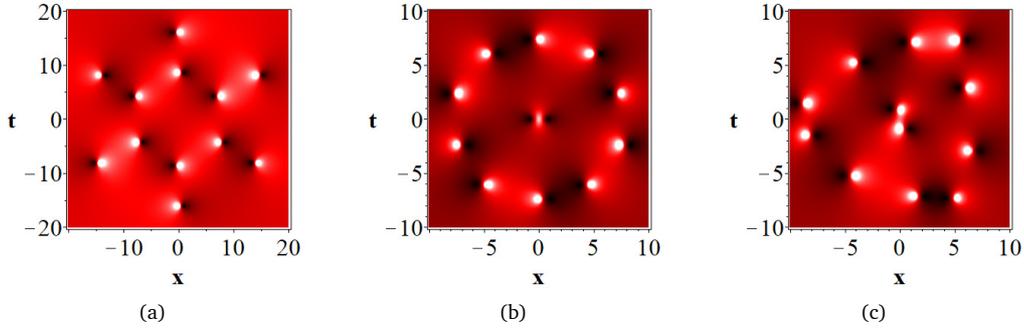


Figure 6: Third-order rogue wave solution to the nonlocal defocusing NLSE with parameters (a)  $(z_{1,0}, z_{2,0}) = (1, -1), (z_{1,1}, z_{2,1}) = (1000i, 1000i), (z_{1,2}, z_{2,2}) = (100, 100i)$ . (b)  $(z_{1,0}, z_{2,0}) = (1, -1), (z_{1,1}, z_{2,1}) = (0, 0), (z_{1,2}, z_{2,2}) = (0, 10000i)$ . (c)  $(z_{1,0}, z_{2,0}) = (1, -1), (z_{1,1}, z_{2,1}) = (100, 100), (z_{1,2}, z_{2,2}) = (0, 10000i)$ .

Fig. 4(c), which have ten singular peaks surrounding one Peregrine-like nonsingular peak. The similar phenomenon are also observed in Figs. 5(c) and 6(b). From Fig. 5(a), we observe the third-order  $x$ -asymmetric rogue waves. The third-order  $x$ -asymmetric rogue waves separate into six first-order rogue waves in Fig. 5(b) as  $z_{1,1}, z_{2,1}$  are chosen the suitable parameters. Fig. 6(a) displays twelve singular peaks arranged in two circular patterns. Interestingly, Fig. 6(c) exhibits ten singular peaks surrounding two singular peaks, which have not been observed before.

**Remark 3.1.** In this work, we have verified that the defocusing nonlocal  $\mathcal{PT}$ -symmetric NLSE could support the analytical rogue waves. For the focusing nonlocal  $\mathcal{PT}$ -symmetric NLSE, its rogue wave solutions and rational solutions have been discussed in [44, 54]. Following the same way provided in this work, the fully explicit expressions of rogue wave solution for the focusing nonlocal NLSE (i.e., the Eq. (1.2) with  $\sigma = 1$ ) can also be derived. Here we only give the explicit formula of first and second-order rogue wave solutions (the explicit formula of the solutions will be given in (3.4) and (3.5)) of the focusing nonlocal NLSE, which is different from the results obtained by [44, 54].

1. The first-order rogue wave solution for the nonlocal focusing NLSE reads

$$q^{[1]} = \left[ 1 - 2 \frac{\nabla_{12}}{\nabla_{11}} \right] \exp(it), \quad (3.4)$$

where

$$\nabla_{11} = \begin{vmatrix} \psi_0 & -\tilde{\phi}_0 \\ \phi_0 & \tilde{\psi}_0 \end{vmatrix}, \quad \nabla_{12} = \begin{vmatrix} i\phi_0 & -i\tilde{\psi}_0 \\ \phi_0 & \tilde{\psi}_0 \end{vmatrix},$$

and

$$\begin{aligned} \Psi_0 &= \begin{bmatrix} \psi_0 \\ \phi_0 \end{bmatrix} = \mathcal{R}_0 \mathcal{E}_0 \mathcal{Z}_0, \\ \phi[0,0] &= \phi_0, \quad \psi[0,0] = \psi_0, \\ \phi[1,0] &= i\phi_0, \quad \psi[1,0] = i\psi_0 \end{aligned}$$

with

$$\mathcal{R}_0 = \begin{bmatrix} 1-x & ix \\ ix & 1+x \end{bmatrix}, \quad \mathcal{E}_0 = \begin{bmatrix} 1-it & -t \\ -t & 1+it \end{bmatrix}.$$

2. The second-order rogue wave solutions for the nonlocal focusing NLSE are

$$q^{[2]} = \left[ 1 - 2 \frac{\nabla_{22}}{\nabla_{21}} \right] \exp(it), \quad (3.5)$$

where

$$\begin{aligned} \nabla_{21} &= \begin{vmatrix} \psi[1,0] & \psi[1,1] & -\tilde{\phi}[1,0] & -\tilde{\phi}[1,1] \\ \psi[0,0] & \psi[0,1] & \tilde{\phi}[0,0] & \tilde{\phi}[0,1] \\ \phi[1,0] & \phi[1,1] & -\tilde{\psi}[1,0] & -\tilde{\psi}[1,1] \\ \phi[0,0] & \phi[0,1] & \tilde{\psi}[0,0] & \tilde{\psi}[0,1] \end{vmatrix}, \\ \nabla_{22} &= \begin{vmatrix} \phi[2,0] & \phi[2,1] & \tilde{\psi}[2,0] & \tilde{\psi}[2,1] \\ \psi[0,0] & \psi[0,1] & \tilde{\phi}[0,0] & \tilde{\phi}[0,1] \\ \phi[1,0] & \phi[1,1] & -\tilde{\psi}[1,0] & -\tilde{\psi}[1,1] \\ \phi[0,0] & \phi[0,1] & \tilde{\psi}[0,0] & \tilde{\psi}[0,1] \end{vmatrix} \end{aligned}$$

and

$$\begin{aligned} \mathcal{R}_1 &= \begin{bmatrix} \mathcal{I}_1 & ix^3/3 \\ ix^3/3 & \mathcal{I}_2 \end{bmatrix}, \\ \mathcal{E}_1 &= \begin{bmatrix} \mathcal{K}_1 & t(t^2-3)/3 \\ t(t^2-3)/3 & \mathcal{K}_2 \end{bmatrix} \end{aligned}$$

with

$$\Psi_1 = \begin{bmatrix} \psi_1 \\ \phi_1 \end{bmatrix} = (\mathcal{R}_0 \mathcal{E}_1 + \mathcal{R}_1 \mathcal{E}_0) \mathcal{Z}_0 + \mathcal{R}_0 \mathcal{E}_0 \mathcal{Z}_1,$$

$$\begin{aligned}
\phi[0, 1] &= \phi_1, & \psi[0, 1] &= \psi_1, \\
\phi[2, 0] &= -\phi_0, & \psi[2, 0] &= -\psi_0, \\
\phi[1, 1] &= i(\phi_0 + \phi_1), & \psi[1, 1] &= i(\psi_0 + \psi_1), \\
\phi[2, 1] &= -(2\phi_0 + \phi_1), & \psi[2, 1] &= -(2\psi_0 + \psi_1).
\end{aligned}$$

Here  $\mathcal{I}_1$ ,  $\mathcal{I}_2$ ,  $\mathcal{K}_1$ , and  $\mathcal{K}_2$  agree with (3.2).

**Remark 3.2.** Similar to [11, 49, 60], we can also check the modulational instability of the plane wave solution (2.2) and find the criterion for the existence of rogue waves in the nonlocal defocusing NLSE. Here we assume that the perturbed plane-wave solution is given by  $q = i\rho \exp(i\rho^2 t)(1 + u)$ , and  $u$  can be conveniently expressed as linear combinations of pure Fourier modes

$$u = f_+(x, t) \exp(i\kappa(x + \omega t)) + f_-(x, -t) \exp(i\kappa(x - \omega t)),$$

where  $\kappa$  and  $\omega$  are the real wave number of the disturbance and the complex phase velocity, respectively. Then, imposing the modulation instability analysis for this constant background solution, we find that when  $\kappa^2 > \rho^2$ , this constant background is modulationally unstable with the growth rate  $\text{Im} = 2\sqrt{\rho^2 - \kappa^2}$ , which provides a physical explanation for the inception of rogue waves.

#### 4. Conclusions and Discussions

In this work, we have derived  $N$ -th order rogue wave solutions of the nonlocal  $\mathcal{PT}$ -symmetric NLSE in defocusing regime through a DT by a separation of variable approach. Moreover, the interesting and complicated dynamic patterns of these rogue waves have been discussed by varying the available parameters. More interesting are the collapsing solutions, which show more complex patterns and have not been observed in the corresponding local focusing and defocusing NLSE. Although our explicit solutions exhibited here are lowest three order rogue waves, a parallel way can be used to work out the  $N$ -th order rogue waves. Finally, it is worth to emphasize that the technique presented in this work may be available to construct rogue waves of matrix versions of the reverse-time nonlocal  $\mathcal{PT}$ -symmetric NLSE, even its hierarchy. Additionally, the above considerations indicate that there may exist more abundant and novel rogue waves in nonlocal nonlinear equations than in the local ones.

The above results imply that the  $\mathcal{PT}$ -symmetry plays an significant role in the study of the defocusing nonlocal NLSE. The method used in this work can also be extended to other nonlocal nonlinear equations such that these models with  $\mathcal{PT}$ -symmetric may generate novel rogue wave phenomena. We will further study these questions in the near future.

#### Acknowledgments

We express our sincere thanks to all the persons who have provided valuable suggestions to this paper.

This work is supported by the National Natural Science Foundation of China (Grant No. 12201622) and by the Project Funded by the China Postdoctoral Science Foundation (Grant No. 2024M753506).

## References

- [1] M.J. Ablowitz, B.F. Feng, X. Luo and Z.H. Musslimani, *Reverse space-time nonlocal Sine-Gordon/Sinh-Gordon equations with nonzero boundary conditions*, Stud. Appl. Math. **141**, 267–307 (2018).
- [2] M.J. Ablowitz, B.F. Feng, X. Luo and Z.H. Musslimani, *Inverse scattering transform for the nonlocal reverse space-time nonlinear Schrödinger equation*, Theor. Math. Phys. **196**, 1241–1267 (2018).
- [3] M.J. Ablowitz, X.D. Luo and Z.H. Musslimani, *Inverse scattering transform for the nonlocal nonlinear Schrödinger equation with nonzero boundary conditions*, J. Math. Phys. **59**, 011501 (2018).
- [4] M.J. Ablowitz and Z.H. Musslimani, *Integrable nonlocal nonlinear Schrödinger equation*, Phys. Rev. Lett. **110**, 064105 (2013).
- [5] M.J. Ablowitz and Z.H. Musslimani, *Integrable nonlocal nonlinear equations*, Stud. Appl. Math. **139**, 7–59 (2017).
- [6] N. Akhmediev, A. Ankiewicz and J.M. Soto-Crespo, *Rogue waves and rational solutions of the nonlinear Schrödinger equation*, Phys. Rev. E **80**, 026601 (2009).
- [7] N. Akhmediev, A. Ankiewicz and M. Taki, *Waves that appear from nowhere and disappear without a trace*, Phys. Lett. A **373**, 675–678 (2009).
- [8] L. An, Y. Chen and L. Ling, *Inverse scattering transforms for the nonlocal Hirota-Maxwell-Bloch system*, J. Phys. A: Math. Theor. **56**, 115201 (2023).
- [9] A. Ankiewicz, P.A. Clarkson and N. Akhmediev, *Rogue waves, rational solutions, the patterns of their zeros and integral relations*, J. Phys. A **43**, 122002 (2010).
- [10] F. Baronio, M. Conforti, A. Degasperis and S. Lombardo, *Rogue waves emerging from the resonant interaction of three waves*, Phys. Rev. Lett. **111**, 114101 (2013).
- [11] F. Baronio, M. Conforti, A. Degasperis, S. Lombardo, M. Onorato and S. Wabnitz, *Vector rogue waves and baseband modulation instability in the defocusing regime*, Phys. Rev. Lett. **113**, 034101 (2014).
- [12] F. Baronio, A. Degasperis, M. Conforti and S. Wabnitz, *Solutions of the vector nonlinear Schrödinger equations: Evidence for deterministic rogue waves*, Phys. Rev. Lett. **109**, 044102 (2012).
- [13] C.M. Bender and S. Boettcher, *Real spectra in non-Hermitian Hamiltonians having PT symmetry*, Phys. Rev. Lett. **80**, 5243 (1998).
- [14] Y.V. Bludov, V.V. Konotop and N. Akhmediev, *Matter rogue waves*, Phys. Rev. A **80**, 033610 (2012).
- [15] S. Chen, F. Baronio, J.M. Soto-Crespo, P. Grelu and D. Mihalache, *Versatile rogue waves in scalar, vector, and multidimensional nonlinear systems*, J. Phys. A: Math. Theor. **50**, 463001 (2017).
- [16] M.J. Dong, L.X. Tian and J.D. Wei, *Novel rogue waves for a mixed coupled nonlinear Schrödinger equation on Darboux-dressing transformation*, East Asian J. Appl. Math. **12**, 22–34 (2022).
- [17] P. Dubard and V.B. Matveev, *Multi-rogue waves solutions: From the NLS to the KP-I equation*, Nonlinearity **26**, R93–R125 (2013).
- [18] K. Dysthe, H.E. Krogstad and P. Müller, *Oceanic rogue waves*, Annu. Rev. Fluid Mech. **40**, 287–310 (2008).

- [19] V.B. Efimov, A.N. Ganshin and G.V. Kolmakov, *Rogue waves in superfluid helium*, Eur. Phys. J. Spec. Top. **185**, 181–193 (2010).
- [20] B.F. Feng, X.D. Luo, M.J. Ablowitz and Z.H. Musslimani, *General soliton solution to a nonlocal nonlinear Schrödinger equation with zero and nonzero boundary conditions*, Nonlinearity **31**, 5385 (2018).
- [21] A.S. Fokas, *Integrable multidimensional versions of the nonlocal nonlinear Schrödinger equation*, Nonlinearity **29**, 319–324 (2016).
- [22] B.L. Guo, L.M. Ling and Q.P. Liu, *Nonlinear Schrödinger equation: Generalized Darboux transformation and rogue wave solutions*, Phys. Rev. E **85**, 026607 (2012).
- [23] B.L. Guo, L.M. Ling and Q.P. Liu, *High-order solutions and generalized Darboux transformations of derivative nonlinear Schrödinger equations*, Stud. Appl. Math. **130**, 317–344 (2013).
- [24] D.J. Kedziora, A. Ankiewicz and N. Akhmediev, *Circular rogue wave clusters*, Phys. Rev. E **84**, 056611 (2011).
- [25] C. Kharif, E. Pelinovsky and A. Slunyaev, *Rogue Waves in the Ocean*, Springer, (2009).
- [26] V.V. Konotop, J. Yang and D.A. Zezyulin, *Nonlinear waves in PT-symmetric systems*, Rev. Mod. Phys. **88**, 035002 (2016).
- [27] S.Y. Lou and F. Huang, *Alice-Bob physics: Coherent solutions of nonlocal KdV systems*, Sci. Rep. **7**, 869 (2017).
- [28] L.Z. Lv, P. Gao, Z.Y. Yang and W.L. Yang, *Excitation and quasi-transition of rogue waves on the one-dimensional quantum droplet*, J. Phys. B: At. Mol. Opt. Phys. **81**, 76–81 (2023).
- [29] L.Y. Ma, S.F. Shen and Z.N. Zhu, *Soliton solution and gauge equivalence for an integrable nonlocal complex modified Korteweg-de Vries equation*, J. Math. Phys. **58**, 103501 (2017).
- [30] W.X. Ma, *Integrable nonlocal nonlinear Schrödinger hierarchies of type  $(-\lambda^*, \lambda)$  and soliton solutions*, Rep. Math. Phys. **92**, 19–36 (2013).
- [31] W.X. Ma, *Inverse scattering for nonlocal reverse-time nonlinear Schrödinger equations*, Appl. Math. Lett. **102**, 106161 (2019).
- [32] W.X. Ma, *Riemann-Hilbert problems and inverse scattering of nonlocal real reverse-spacetime matrix AKNS hierarchies*, Physica D **430**, 133078 (2022).
- [33] W.X. Ma, *Integrable nonlocal nonlinear Schrödinger equations associated with  $so(3, \mathbb{R})$* , Proc. Amer. Math. Soc. **9**, 1–11 (2022).
- [34] W.M. Moslem, P.K. Shukla and B. Eliasson, *Surface plasma rogue waves*, Europhys. Lett. **96**, 25002 (2011).
- [35] G. Mu, Z. Qin and R. Grimshaw, *Dynamics of rogue waves on a multisoliton background in a vector nonlinear Schrödinger equation*, SIAM J. Appl. Math. **75**, 1–20 (2015).
- [36] G. Mu, Z. Qin, R. Grimshaw and N. Akhmediev, *Intricate dynamics of rogue waves governed by the Sasa-Satsuma equation*, Physica D **402**, 132252 (2020).
- [37] Y. Ohta and J. Yang, *General high-order rogue waves and their dynamics in the nonlinear Schrödinger equation*, Proc. R. Soc. Lond. A **468**, 1716–1740 (2012).
- [38] E. Pelinovsky and C. Kharif, *Extreme Ocean Waves*, Springer, (2008).
- [39] D.H. Peregrine, *Water waves, nonlinear Schrödinger equations and their solutions*, J. Aust. Math. Soc. B **25**, 16–43 (1983).
- [40] Z. Qin and G. Mu, *Matter rogue waves in an  $F = 1$  spinor Bose-Einstein condensate*, Phys. Rev. E **86**, 036601 (2013).
- [41] J. Rao, Y. Cheng and J. He, *Rational and semi-rational solutions of the nonlocal Davey-Stewartson equations*, Stud. Appl. Math. **139**, 568–598 (2017).
- [42] J. Rao, J. He, T. Kanna and D. Mihalache, *Nonlocal M-component nonlinear Schrödinger equations: Bright solitons, energy-sharing collisions, and positons*, Phys. Rev. E **102**, 032201 (2020).

- [43] D.R. Solli, C. Ropers, P. Koonath and B. Jalali, *Optical rogue waves*, Nature **450**, 1054–1057 (2007).
- [44] W.J. Tang, Z.N. Hu and L.M. Ling, *Bounded multi-soliton solutions and their asymptotic analysis for the reversal-time nonlocal nonlinear Schrödinger equation*, Commun. Theor. Phys. **73**, 105001 (2021).
- [45] T. Wang, Z. Qin, G. Mu and F. Zheng, *General high-order rogue waves in the Hirota equation*, Appl. Math. Lett. **140**, 108571 (2023).
- [46] X.B. Wang, Y. Chen, B. Han and S.F. Tian, *Exotic localized vector waves in the multicomponent nonlinear integrable systems*, Sci. Sin. Math. **52**, 1057–1072 (2022).
- [47] X. Wang and C. Li, *Solitons, breathers and rogue waves in the coupled nonlocal reverse-time nonlinear Schrödinger equations*, J. Geom. Phys. **180**, 104619 (2022).
- [48] X.B. Wang and S.F. Tian, *Exotic vector freak waves in the nonlocal nonlinear Schrödinger equation*, Physica D **442**, 133528 (2022).
- [49] X.Y. Wang and Z. Yan, *Modulational instability and higher-order RWs with parameters modulation in a coupled integrable AB system via the generalized Darboux transformation*, Chaos **25**, 123115 (2015).
- [50] S. Xu, J. He and L. Wang, *The Darboux transformation of the derivative nonlinear Schrödinger equation*, J. Phys. A: Math. Theor. **44**, 305203 (2011).
- [51] T. Xu, Y. Chen, M. Li and D.X. Meng, *General stationary solutions of the nonlocal nonlinear Schrödinger equation and their relevance to the PT-symmetric system*, Chaos **29**, 123124 (2019).
- [52] Z. Yan, *Financial rogue waves*, Commun. Theor. Phys. **54**, 947 (2010).
- [53] Z. Yan, *Vector financial rogue waves*, Phys. Lett. A **375**, 4274 (2011).
- [54] B. Yang and Y. Chen, *Several reverse-time integrable nonlocal nonlinear equations: Rogue-wave solutions*, Chaos **28**, 053104 (2018).
- [55] B. Yang and J. Yang, *Rogue waves in the nonlocal  $\mathcal{PT}$ -symmetric nonlinear Schrödinger equation*, Lett. Math. Phys. **109**, 945–973 (2020).
- [56] B. Yang and J. Yang, *On general rogue waves in the parity-time-symmetric nonlinear Schrödinger equation*, J. Math. Anal. Appl. **487**, 124023 (2020).
- [57] J. Yang, *General N-solitons and their dynamics in several nonlocal nonlinear Schrödinger equations*, Phys. Lett. A **383**, 328–337 (2019).
- [58] R. Ye and Y. Zhang, *General soliton solutions to a reverse-time nonlocal nonlinear Schrödinger equation*, Stud. Appl. Math. **145**, 197–216 (2020).
- [59] G. Zhang and Z. Yan, *Inverse scattering transforms and soliton solutions of focusing and defocusing nonlocal mKdV equations with non-zero boundary conditions*, Physica D **402**, 132170 (2020).
- [60] H.S. Zhang, L. Wang, W.R. Sun, X. Wang and T. Xu, *Mechanisms of stationary converted waves and their complexes in the multi-component AB system*, Physica D **419**, 132849 (2021).